

Business Continuity Plan Disclosure

CJS Securities, Inc. has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at 914-287-7600/email (letter of first name full last name@cjs-securities.com), you should call our alternate numbers, 914-993-0131 and 914-471-7775 or go to our web site at www.cjssecurities.com . If you cannot access us through either of those means, you may contact our clearing firms directly:

JPMorgan Clearing Corp at 212-552-1919 and www.jpmorgan.com for liquidating orders, check and wire requests, and ACAT transfers only.

Our Account Manager at Pershing may be reached at 201-761-5214.

Clients may use the Pershing Trading Help desk at 201-413-2970. In the event of an external SBD, clients may contact Pershing directly to process limited trade-related transactions, cash disbursements, and security transfers. Instructions to Pershing must be in writing and transmitted via fax at (201) 413-5368 or by mail to:

Pershing LLC

P.O. Box 2065

Jersey City, NJ 07303-2065

For additional information about how to request funds, please visit the Customer Support section of Pershing's website www.pershing.com or call (201) 624-6100 for recorded instructions.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternative physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our clearing firms, JPMorgan and Pershing LLC back up their important records in geographically diverse areas. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firms that their objective is to restore their own operations and be able to complete existing transactions and accept new transactions and payments within the same day. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within a 12 hour period. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within a 24 hour period.

In either situation, we plan to continue in business, transfer operations to our clearing firms if necessary, and notify you through our web site, www.cjssecurities.com or our customer emergency numbers, 914-993-0131 and 914-471-7775, how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

For more information - If you have questions about our business continuity planning, you can contact us at 914-287-7600 or compliance@cjs-securities.com.